



FEATURE

Pandemrix vaccine: why was the public not told of early warning signs?

Eight years after the pandemic influenza outbreak, a lawsuit alleging that GlaxoSmithKline's Pandemrix vaccine caused narcolepsy has unearthed internal reports suggesting problems with the vaccine's safety. **Peter Doshi** asks what this means for the future of transparency during public health emergencies

Peter Doshi *associate editor, The BMJ*

In October 2009, the US National Institutes of Health infectious diseases chief, Anthony Fauci, appeared on YouTube to reassure Americans about the safety of the "swine flu" vaccine. "The track record for serious adverse events is very good. It's very, very, very rare that you ever see anything that's associated with the vaccine that's a serious event,"¹ he said.

Four months earlier, the World Health Organization had declared H1N1 influenza a pandemic, and by October 2009 the new vaccines were being rolled out across the world. A similar story was playing out in the UK, with prominent organisations, including the Department of Health, British Medical Association, and Royal Colleges of General Practitioners, working hard to convince a reluctant NHS workforce to get vaccinated.² "We fully support the swine flu vaccination programme ... The vaccine has been thoroughly tested," they declared in a joint statement.³

Except, it hadn't. Anticipating a severe influenza pandemic, governments around the world had made various logistical and legal arrangements to shorten the time between recognition of a pandemic virus and the production of a vaccine and administration of that vaccine in the population. In Europe, one element of those plans was an agreement to grant licences to pandemic vaccines based on data from pre-pandemic "mock-up" vaccines produced using a different virus (H5N1 influenza). Another element, adopted by countries such as Canada, the US, UK, France, and Germany, was to provide vaccine manufacturers indemnity from liability for wrongdoing, thereby reducing the risk of a lawsuit stemming from vaccine related injury.^{4,5}

In an interview with *The BMJ*, Liam Donaldson, England's chief medical officer at the time, recalled the situation around the October 2009 roll-out: "The UK had worked for several years on its pandemic influenza plans, as part of a globally coordinated approach. This included, well in advance, establishing the process for developing and introducing a vaccine in such a public health emergency.

"Faced with an emerging pandemic of unknown severity, the process was initiated. The national scientific committee for vaccines (the JCVI) and the statutory medicines regulatory authorities were fully engaged in the procedural steps that led to the introduction and use of the vaccine. This was not a policy decision taken in the absence of such expertise," he said.

Controversy

Vaccines against influenza are arguably the only vaccines that a notable portion of healthcare professionals decline despite recommendations.⁶ And in late 2009, vaccines against the H1N1 swine flu—which was turning out to be a far milder pandemic than officials had predicted—were even more controversial than normal.

In Germany, senior physicians expressed doubts about GlaxoSmithKline's Pandemrix vaccine. They were concerned about potential side effects triggered by the AS03 adjuvant, an oil-in-water emulsion that it contained to boost effectiveness.⁷ Then controversy erupted when the German newspaper *Der Spiegel* reported that top politicians and government employees were going to receive Celvapan, Baxter's unadjuvanted H1N1 vaccine, not Pandemrix.⁷

The concerns, it seems, were prescient. A year later, signs of a problem with Pandemrix were emerging through postmarket reports of narcolepsy, predominantly among children and adolescents in Sweden and Finland.⁸ Multiple academic and government led studies subsequently judged that the relation between Pandemrix and narcolepsy was likely to be causal.⁹⁻¹¹ Over 1300 people are estimated to have been affected among the roughly 30 million vaccinated across Europe,¹² including around 100 families in the UK.¹³

However, GSK and the European Medicines Agency, which licensed Pandemrix, have not accepted that the association with narcolepsy has been proved to be causal, and research on the topic continues.¹⁴ GSK told *The BMJ* that "further research is needed to confirm what role Pandemrix may have played in the development of narcolepsy among those affected."

Claims for compensation followed, with many still being fought in the courts.¹⁵

Now, eight years after the outbreak, new information is emerging from one of the lawsuits that, months before the narcolepsy cases were reported, the manufacturer and public health officials were aware of other serious adverse events logged in relation to Pandemrix.

Undisclosed problem

In documents obtained through the pretrial discovery process (see supplementary data on bmj.com), prosecutors suing the Irish Minister of Health, Health Service Executive, Health Products Regulatory Authority, and GSK have found a string of GSK postmarketing safety reports that show a striking difference in the number and frequency of adverse events reported for three GSK pandemic vaccines approved and used across the world: Pandemrix, Arepanrix, a similar H1N1 vaccine that also contained AS03 adjuvant, and an H1N1 vaccine without adjuvant (no brand name is given).

The BMJ learnt of the reports from my colleague Tom Jefferson, a medically trained epidemiologist who was hired as an expert witness by the solicitors representing Aoife Bennett, an Irish woman who developed narcolepsy after vaccination with Pandemrix in 2009. Jefferson took on the case in 2015, and last year the lawyers received a copy of the GSK safety reports that had been emailed within the company and to at least one regulator (Ireland). Adverse event tables embedded in nine reports spanning the four months between December 2009 and March 2010 offer a glimpse into the vaccines' safety profiles.

"When I saw those tables, I just fell off the chair. A consumer can figure out what's going on here," Jefferson told me (table 1).

Jefferson immediately calculated the adverse event rates for each vaccine, which showed large differences between Pandemrix and Arepanrix. Any real differences between the vaccines would be especially alarming because Pandemrix and Arepanrix are, broadly speaking, the same vaccine manufactured in different facilities and used in different countries. Divergent rates of adverse events might implicate a manufacturing problem.

"The odds ratios, the point estimates, are all high. And some of them are significantly high—5.39 [95% confidence interval 3.70 to 7.85] for deaths [for Pandemrix v the other vaccines]," Jefferson said.

"The thing that struck me was not just that the odds ratios were high, but the fact that nobody had tabulated and analysed them," he said, pointing out that the GSK reports provided numerator and denominator data sufficient to calculate the odds ratios but did not actually contain those calculations.

The BMJ conducted its own analysis of the adverse events, most of which seem to have been reported spontaneously to GSK (figs 1 and 2). For a range of concerning adverse events, reports were coming in for Pandemrix at a consistently higher rate than for the other two GSK pandemic vaccines—four times the rate of facial palsy, eight times the rate of serious adverse events, nine times the rate of convulsions. Overall, Pandemrix had, proportionally, five times more adverse events reported than Arepanrix and the unadjuvanted vaccine.

And the raw numbers of adverse events were not small. Although it is often said that perhaps only up to 10% of adverse events are reported to national reporting systems,¹⁶ by late November, GSK had received 1138 serious adverse event reports for Pandemrix—a rate of 76 per million doses administered. By

mid-December, there had been 3280 serious adverse event reports (68/million doses). The last report seen by *The BMJ*, dated 31 March 2010, shows 5069 serious adverse events for Pandemrix (72/million doses), seven times the rate for Arepanrix and the unadjuvanted vaccine combined.

The data are insufficient to draw conclusions about cause and effect, but for Gillian O'Connor, the solicitor representing Bennett, they raise serious questions about transparency. The disparity, she wrote in an affidavit filed in court, was "of such striking difference that any person contemplating taking the Pandemrix vaccine would be likely, if in receipt of this information, not to choose to have the Pandemrix vaccination."

Alarm bells that never rang

But neither GSK nor the health authorities seem to have made the information public—nor is it clear that the disparity was investigated. This is in contrast to the reaction to narcolepsy, which quickly made news headlines and was the subject of a GSK press release and investigation¹⁷ in a matter of weeks after the first reports from Sweden and Finland.

In many of the GSK reports, the company briefly mentions having conducted "safety reviews"—for example, with respect to anaphylaxis, facial palsy, and Guillain-Barré syndrome. *The BMJ* asked GSK for a copy of those reviews but it did not provide them.

In a statement, GSK wrote: "After the introduction of Pandemrix, GSK continuously evaluated all available safety data and shared the data with the EMA and other regulatory authorities where the vaccine was licensed so that the authorities could conduct their own independent assessments. EMA made weekly summaries of the data provided by GSK and other manufacturers publicly accessible and they remain accessible through the EMA's website."

The BMJ asked GSK whether it ever undertook any investigations to understand the discrepancy in adverse event reporting between Pandemrix and Arepanrix, whether it notified healthcare providers about the discrepancies, whether it considered pulling Pandemrix from the market, or considered recommending Arepanrix or another company's vaccine. But GSK declined to answer these and all of *The BMJ*'s questions, citing ongoing litigation.

The BMJ asked the UK Department of Health why it recommended Pandemrix over Baxter's Celvapan, but the department also declined to comment, calling the question "quite technical" and suggesting we submit a freedom of information request for an answer.

In December 2009 demonstrators in Scotland took to the streets to challenge the government's swine flu vaccine campaign arguing it was out of step with the mild pandemic.¹⁸

"Avoidable catastrophe"

The vaccination programme continued in Ireland as well. "The Irish government kept inviting people to get vaccinated," Jefferson observed. "This was when it was quite clear that the pandemic was on the wane and it was nowhere near the catastrophe portrayed by influenza researchers, governments, industry, and the media."

Clare Daly, a member of the Irish parliament, called the adverse events after Pandemrix a "completely avoidable catastrophe," and has been demanding answers for over a year.¹⁹ In the Irish National Assembly last year, she told the then prime minister, Enda Kenny, "The Health Service Executive (HSE) decided to

purchase Pandemrix and continued to distribute it even after they knew it was dangerous and untested, and before most of the public in Ireland received it.²⁰ In response, the Taoiseach said that concerns about the safety of Pandemrix and actions by GSK deserve “immediate analysis.” *The BMJ* requested an update on the investigation from the Irish Department of Health but did not receive a direct answer.

What EMA knew—or could have known—about the comparative safety of GSK’s pandemic vaccines is hard to discern. It told *The BMJ* that “EMA does not perform comparative benefit and risk evaluations between products approved in the EU, or between EU products and products approved or used outside the EU.”

But, had it wanted to, did the agency have the data to conduct such an analysis? Despite the similarity between the two vaccines, Arepanrix was not authorised in the EU until March 2010, late in the pandemic, and data reporting requirements for the two vaccines differed.

“While it might have been possible to estimate reporting rates based on usage data, which are difficult to obtain during a pandemic, EMA does not have a methodology to compare reporting rates between two products (note that the pandemic influenza pharmacovigilance updates included number of reports, not rates),” an EMA spokesperson said.

Jefferson was uncompelled. “What is the purpose of pharmacovigilance if nobody is acting on the information? This information took eight years to come to light through academic work and litigation. Is this acceptable? If the information at our disposal is partial, that is the direct consequence of secrecy, which should not surround any public health intervention.”

Pandemrix and Arepanrix were designed for a pandemic and were removed from global markets after the pandemic. Whatever adverse events they may have caused, they are vaccines of the past. But the events of 2009–10 raise fundamental questions about the transparency of information. When do public health officials have a duty to warn the public over possible harms of vaccines detected through pharmacovigilance? How much detail should the public be provided with, who should provide it, and should the provision of such information be proactive or passive?

If history were to repeat itself, does the public have a right to know?

This article was amended on 21 September 2018 to correct the date in the legend to figure 1 from 2 October to 2 December.

Competing interests: I have read and understood BMJ policy on declaration of interests and declare I am a colleague of Tom Jefferson, quoted in this story.

Provenance and peer review: Commissioned; externally peer reviewed.

- 1 US Department of Health and Human Services. *How safe is the flu vaccine?* 26 Oct 2009. <https://www.youtube.com/watch?v=TE4cNqCBCEQ>
- 2 Bowcott O. Swine flu mass vaccination programme launched. *Guardian* 2009 Oct 21. <http://www.theguardian.com/world/2009/oct/21/swine-flu-mass-vaccination>
- 3 British Medical Association, Royal College of General Practitioners, Royal College of Midwives, et al. Swine flu vaccination. 2009. <https://www.rcm.org.uk/sites/default/files/Swine%20Flu%20Vaccination%20-%20joint%20statement%20FINAL.pdf>
- 4 Porter T. Brain-damaged UK victims of swine flu vaccine to get £60 million compensation. *International Business Times* 2014 Mar 2. <https://www.ibtimes.co.uk/brain-damaged-uk-victims-swine-flu-vaccine-get-60-million-compensation-1438572>
- 5 Attaran A, Wilson K. The Ebola vaccine, iatrogenic injuries, and legal liability. *PLoS Med* 2015;12:e1001911. 10.1371/journal.pmed.1001911 26625163
- 6 Centers for Disease Control and Prevention (CDC). Influenza vaccination coverage among health-care personnel—United States, 2010–11 influenza season. *MMWR Morb Mortal Wkly Rep* 2011;60:1073–7. 21849963
- 7 Stafford N. Only 12% of Germans say they will have H1N1 vaccine after row blows up over safety of adjuvants. *BMJ* 2009;339:b4335. 10.1136/bmj.b4335 19846501
- 8 European Centre for Disease Prevention and Control. Narcolepsy in association with pandemic influenza vaccination (a multicountry European epidemiological investigation). 2012. <https://ecdc.europa.eu/sites/portal/files/media/en/publications/Publications/Vaesco%20report%20FINAL%20with%20cover.pdf>
- 9 Partinen M, Saarenpää-Heikkilä O, Ilveskoski I, et al. Increased incidence and clinical picture of childhood narcolepsy following the 2009 H1N1 pandemic vaccination campaign in Finland. *PLoS One* 2012;7:e33723. 10.1371/journal.pone.0033723 22470463
- 10 Nohynek H, Jokinen J, Partinen M, et al. AS03 adjuvanted A/H1N1 vaccine associated with an abrupt increase in the incidence of childhood narcolepsy in Finland. *PLoS One* 2012;7:e33536. 10.1371/journal.pone.0033536 22470453
- 11 Miller E, Andrews N, Stellitano L, et al. Risk of narcolepsy in children and young people receiving AS03 adjuvanted pandemic A/H1N1 2009 influenza vaccine: retrospective analysis. *BMJ* 2013;346:f794. 10.1136/bmj.f794 23444425
- 12 Vogel G. Why a pandemic flu shot caused narcolepsy. *Science* 2015 Jul 1. <http://news.sciencemag.org/health/2015/07/why-pandemic-flu-shot-caused-narcolepsy>
- 13 Narcolepsy UK. Pandemrix narcolepsy. <https://www.narcolepsy.org.uk/resources/pandemrix-narcolepsy>
- 14 Branswell H. Did pandemic flu vaccine trigger an increase in narcolepsy cases? *STAT* 2018 Jul 5. <https://www.statnews.com/2018/07/05/flu-vaccine-2009-pandemic-narcolepsy/>
- 15 Lintern S. These NHS staff were told the swine flu vaccine was safe, and now they’re suffering the consequences. *BuzzFeed* 2018 Feb 23. <https://www.buzzfeed.com/shaunintern/these-nhs-staff-were-told-the-swine-flu-vaccine-was-safe>
- 16 Rawlins MD. Pharmacovigilance: paradise lost, regained or postponed? The William Withering Lecture 1994. *J R Coll Physicians Lond* 1995;29:41–9. 7738878
- 17 GlaxoSmithKline. Reports of narcolepsy in Europe following vaccination with Pandemrix. 2010. <https://www.gsk.com/en-gb/media/press-releases/reports-of-narcolepsy-in-europe-following-vaccination-with-pandemrix/>
- 18 Edinburgh protesters march against swine flu vaccine. *Scotsman* 2009 Dec 12. <https://www.scotsman.com/news/edinburgh-protesters-march-against-swine-flu-vaccine-1-472710https://www.scotsman.com/news/edinburgh-protesters-march-against-swine-flu-vaccine-1-472710>
- 19 Daly C. *Leaders’ questions*. YouTube, 4 Apr 2017. <https://www.youtube.com/watch?v=TkmbF2Zk4T8>
- 20 Dyer C. Irish MP calls for key documents on Pandemrix to be handed to lawyers representing children with narcolepsy. *BMJ* 2017;357:j1959. 10.1136/bmj.j1959 28428181

Published by the BMJ Publishing Group Limited. For permission to use (where not already granted under a licence) please go to <http://group.bmj.com/group/rights-licensing/permissions>

Table

Table 1 | Reproduction of table of adverse event reports from internal GSK report dated 2 December 2009*

	Pandemrix	Arepanrix	Swine flu split Quebec without AS03	Total
All adverse events	3807	510	2	4319
Serious adverse events	1138	95	0	1233
Fatal outcomes	47	6	0	53
AESI				
Anaphylaxis	141	43	0	184
Facial palsy	12	2	0	14
Guillain-Barré syndrome	3	1	0	4
Encephalitis	2	0	0	2
Demyelinating disorders	3	0	0	3
Convulsions	51	6	0	57
Neuritis	6	0	0	0
Vasculitis	10	0	0	10

* Included in email sent on behalf of Thomas Verstraeten, vice president of GSK Biologicals. For full information from court affidavit see supplementary data on bmj.com.

Figures

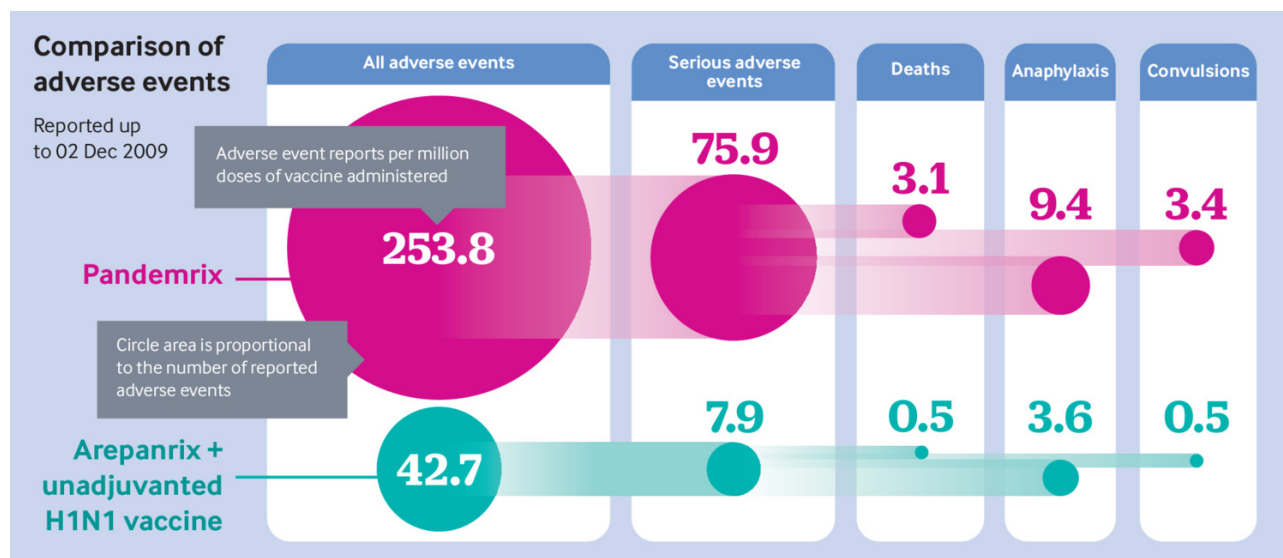


Fig 1 Adverse event reports (number/million doses) for GSK pandemic influenza vaccines up to 2 December 2009 (GSK reports number of doses for Arepanrix and the non-adjuvant vaccine together)

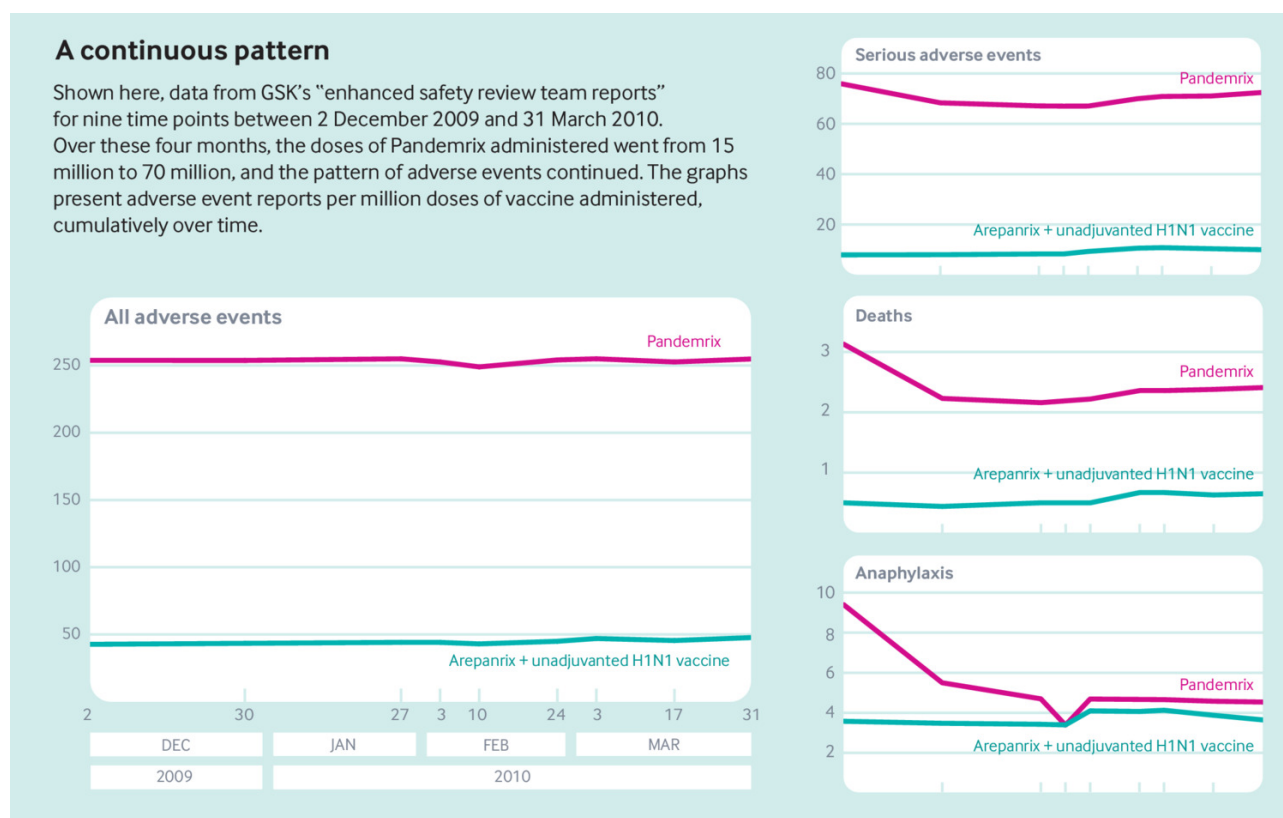


Fig 2 Cumulative rate of adverse events (number/million doses) for pandemic influenza vaccines over time, December 2009 to March 2010